

## Message Text

UNCLASSIFIED

PAGE 01 WARSAW 02379 301606Z

47

ACTION TRSE-00

INFO OCT-01 EUR-25 ISO-00 EB-11 FRB-02 CIEP-02 CIAE-00

INR-10 NSAE-00 RSC-01 DRC-01 /053 W

----- 114217

R 301429Z APR 74

FM AMEMBASSY WARSAW

TO SECSTATE WASHDC 8792

UNCLAS WARSAW 2379

E.O. 11652: N/A

TAGS: EFIN, PL

SUBJECT: REGULATION OF FOREIGN BROKERS AND UNDERWRITERS IN POLAND

REF: (A) STATE 079749; (B) WARSAW 2180

1. NO FOREIGN BROKERS OR UNDERWRITERS ARE ALLOWED TO OPERATE  
IN POLISH MARKETS.

2. AS REPORTED REF B, ONE U.S. BANK MAY BE ALLOWED TO OPEN  
REPRESENTATIVE OFFICE HERE SOON, BUT IT WILL NOT BE ALLOWED  
TO ENGAGE IN FINANCIAL OPERATIONS.  
DAVIES

UNCLASSIFIED

NNN

## Message Attributes

**Automatic Decaptioning:** X  
**Capture Date:** 01 JAN 1994  
**Channel Indicators:** n/a  
**Current Classification:** UNCLASSIFIED  
**Concepts:** FOREIGN INVESTMENT, BANK LAW, BANK SERVICES, FINANCIAL TRANSACTIONS  
**Control Number:** n/a  
**Copy:** SINGLE  
**Draft Date:** 30 APR 1974  
**Decaption Date:** 01 JAN 1960  
**Decaption Note:**  
**Disposition Action:** n/a  
**Disposition Approved on Date:**  
**Disposition Authority:** n/a  
**Disposition Case Number:** n/a  
**Disposition Comment:**  
**Disposition Date:** 01 JAN 1960  
**Disposition Event:**  
**Disposition History:** n/a  
**Disposition Reason:**  
**Disposition Remarks:**  
**Document Number:** 1974WARSAW02379  
**Document Source:** CORE  
**Document Unique ID:** 00  
**Drafter:** n/a  
**Enclosure:** n/a  
**Executive Order:** N/A  
**Errors:** N/A  
**Film Number:** D740102-1206  
**From:** WARSAW  
**Handling Restrictions:** n/a  
**Image Path:**  
**ISecure:** 1  
**Legacy Key:** link1974/newtext/t1974041/aaaaaaeq.tel  
**Line Count:** 39  
**Locator:** TEXT ON-LINE, ON MICROFILM  
**Office:** ACTION TRSE  
**Original Classification:** UNCLASSIFIED  
**Original Handling Restrictions:** n/a  
**Original Previous Classification:** n/a  
**Original Previous Handling Restrictions:** n/a  
**Page Count:** 1  
**Previous Channel Indicators:** n/a  
**Previous Classification:** n/a  
**Previous Handling Restrictions:** n/a  
**Reference:** (A) STATE 079749; (B) WARSAW 2180  
**Review Action:** RELEASED, APPROVED  
**Review Authority:** WorrelSW  
**Review Comment:** n/a  
**Review Content Flags:**  
**Review Date:** 18 OCT 2002  
**Review Event:**  
**Review Exemptions:** n/a  
**Review History:** RELEASED <18 OCT 2002 by shawdg>; APPROVED <02 JAN 2003 by WorrelSW>  
**Review Markings:**

Declassified/Released  
US Department of State  
EO Systematic Review  
30 JUN 2005

**Review Media Identifier:**  
**Review Referrals:** n/a  
**Review Release Date:** n/a  
**Review Release Event:** n/a  
**Review Transfer Date:**  
**Review Withdrawn Fields:** n/a  
**Secure:** OPEN  
**Status:** NATIVE  
**Subject:** REGULATION OF FOREIGN BROKERS AND UNDERWRITERS IN POLAND  
**TAGS:** EFIN, PL  
**To:** STATE  
**Type:** TE  
**Markings:** Declassified/Released US Department of State EO Systematic Review 30 JUN 2005